

A Beam Dynamics View on a Generalized Formulation of Spin Dynamics, Based on Topological Algebra, with Examples.

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FLASH
Free-Electron Laser
in Hamburg

Abstract

Here I rephrase the results of work [1, 2, 3, 4, 5, 6] performed in several collaborations with **K.Heinemann**¹, **J.A.Ellison**¹, **D.P.Barber**², and **A.Kling**³ on a generalized look on spin dynamics and beam polarization in storage rings. It is done in a way that emphasizes the applicability of the concepts to real world polarized beams rather than presenting the results in their most general form. The latter view can be found in several articles on the arXiv and will be published in refereed journals soon. I will introduce several "spin-related" systems, state some selected main results of the above mentioned work and then recover and compare some basic (and some not so basic) findings for the various systems in the light of our generalized approach.

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Introduction

Spin/Orbit Dynamics

- Time-Discrete picture \rightarrow maps!
- Integrable orbital motion on torus \mathcal{T}^d : actions $J = \text{const}$ & phases mapped by tune ω
 $M_\omega: \mathcal{T}^d \rightarrow \mathcal{T}^d, \phi \mapsto M_\omega(\phi) = [\phi + \omega]_{\mathcal{T}}$ (1)
- "Polarization" assume BMT-evolution for **vector Pol.** \vec{s} and **tensor Pol.** $\underline{\underline{s}}$ starting at ϕ :
 $\vec{s} \mapsto \underline{R}(\phi) \vec{s}, \underline{\underline{s}} \mapsto \underline{R}(\phi) \underline{\underline{s}} \underline{R}(\phi)^T$
 $\underline{R}: \mathcal{T}^d \rightarrow \text{SO}(3), \Phi \mapsto \underline{R}(\phi) \in \text{SO}(3)$ (2)
- w/ $\vec{s} \in \mathbb{R}^3, \underline{\underline{s}} \in \mathbb{R}^{3 \times 3}, \underline{\underline{s}} = \underline{\underline{s}}^T, \text{trace } \underline{\underline{s}} = 0$

- "Spin" \equiv "Polarization" w/ norm 1:
 $\|\vec{s}\|_2 = 1$ (Eucl. norm) $\Leftrightarrow \|\underline{\underline{s}}\|_2 = \|\vec{s}\|_2$,
 $\|\underline{\underline{s}}\|_F = \sqrt{\text{trace}(\underline{\underline{s}}^T \underline{\underline{s}})} = 1 \Leftrightarrow \|\underline{R} \underline{\underline{s}} \underline{R}^T\|_F = \|\underline{\underline{s}}\|_F$

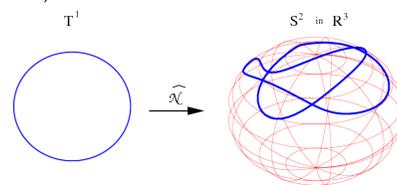
Dynamics of Fields

- Vector/tensor polarization/Spin fields \equiv sequences of fields on the torus: $F_n (= \vec{S}_n / \underline{\underline{S}}_n) / E_n (= \underline{S}_n / \underline{\underline{S}}_n), n \in \mathbb{N}_0$, so that
 $F_{n+1}(M_\omega(\phi)) = \underline{R}(\phi) F_n(\phi) \Leftrightarrow F_{n+1}(\phi) = \underline{R}(M_\omega^{-1}(\phi)) F_n(M_\omega^{-1}(\phi))$ (3)
 $E_{n+1}(M_\omega(\phi)) = \underline{R}(\phi) E_n(\phi) \underline{R}(\phi)^T \Leftrightarrow E_{n+1}(\phi) = \underline{R}(M_\omega^{-1}(\phi)) E_n(M_\omega^{-1}(\phi)) \underline{R}(M_\omega^{-1}(\phi))^T$ (4)
- note: e.g. $F_{n+1} = M_\omega \underline{R} \cdot M_\omega F_n$, with M_ω the P.F. op. of M_ω !
- The vector/tensor polarization/spin fields are **invariant**, if $F_{n+1} = F_n$, or $E_{n+1} = E_n$ (\rightarrow skip $n!$)
lvPF: $\vec{P}(\phi) = \underline{R}(M_\omega^{-1}(\phi)) \vec{P}(M_\omega^{-1}(\phi))$ (5)
lvSF: $\underline{N}(\phi) = \underline{R}(M_\omega^{-1}(\phi)) \underline{N}(M_\omega^{-1}(\phi))$ (6)
ltPF: $\underline{P}(\phi) = \underline{R}(M_\omega^{-1}(\phi)) \underline{P}(M_\omega^{-1}(\phi)) \underline{R}(M_\omega^{-1}(\phi))^T$ (7)
ltSF: $\underline{N}(\phi) = \underline{R}(M_\omega^{-1}(\phi)) \underline{N}(M_\omega^{-1}(\phi)) \underline{R}(M_\omega^{-1}(\phi))^T$ (8)
- \leftarrow (Q1) Are these invariants somehow related for common M_ω, \underline{R} ???
(Q2) How do they relate for varying M_ω, \underline{R} ???
- Note: the trivial polarization fields $\vec{P}_{\text{null}}(\phi) \equiv \vec{0}$ & $\underline{N}_{\text{null}}(\phi) \equiv \underline{0}$ are always invariant

The New Formalism (Basics)

- (a) **SO(3)-Action** :
Let E be a "set" and
 $l: \text{SO}(3) \times E \rightarrow E, (A, x) \mapsto y = l(A; x) \in E$
so that
 $l(\underline{1}; x) = x \quad \forall x \in E$
 $l(\underline{A}_2 \underline{A}_1; x) = l(\underline{A}_2; l(\underline{A}_1; x)) \quad \forall x \in E, \forall \underline{A}_1, \underline{A}_2 \in \text{SO}(3)$ (9)
then l is the **SO(3)-Action** of the **SO(3)-Space** (E, l) .
If E is a lin. space and l is lin. in \underline{A} & x , (E, l) is a **representation**.
 \rightarrow **Vector pola.**: $E_{\vec{v}} := \mathbb{R}^3, l_{\vec{v}}(\underline{A}; \vec{s}) := \underline{A} \vec{s}$
Tensor spin: $E_t := \mathbb{S}_2, l_t(\underline{A}; \underline{\underline{s}}) := \underline{A} \underline{\underline{s}}$
Tensor pola.:
 $E_t := \{\underline{\underline{s}} \in \mathbb{R}^{3 \times 3}; \underline{\underline{s}} = \underline{\underline{s}}^T, \text{trace } \underline{\underline{s}} = 0\}, l_t(\underline{A}; \underline{\underline{s}}) := \underline{A} \underline{\underline{s}} \underline{A}^T$
Tensor spin: $E_t := \{\underline{\underline{s}} \in E_t; \|\underline{\underline{s}}\|_F = 1\}$,
 $l_t(\underline{A}; \underline{\underline{s}}) := \underline{A} \underline{\underline{s}} \underline{A}^T$
combined E/orbit-map K of (E, l) with M_ω & \underline{R} :
 $\mathcal{T}^d \times E \xrightarrow{K} \mathcal{T}^d \times E, (\phi, x) \mapsto (M_\omega(\phi), l(\underline{R}(\phi); x))$
 \rightarrow **invariant fields**: condition becomes
 $\underline{F} \circ M_\omega = l_{\vec{v}}(\underline{R}; \underline{F})$ & $\underline{F} \circ M_\omega = l_t(\underline{R}; \underline{F})$
(b) **(E, l)-Orbit** E_x of x :
 $\forall x \in E$: is a subset $E_x \subset E$
 $E_x := l(\text{SO}(3); x) := \{l(\underline{A}; x) : \underline{A} \in \text{SO}(3)\}$
 \rightarrow inv. sets of comb. map: $K(\mathcal{T}^d \times E_x) = \mathcal{T}^d \times E_x$
 $\rightarrow l_{\vec{v}}(\text{SO}(3); \vec{s}) \equiv \mathbb{S}_2, l_t(\text{SO}(3); \underline{\underline{s}}) \equiv \mathbb{S}_2$

Fig.1: SPRINT (HERA-p) example of C^0 -lvSF driven by 1-d (vertical) orbital motion.



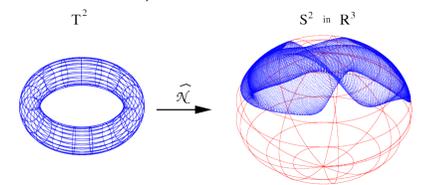
(c) Isotropy Group :

Let (E, l) be **SO(3)-space** & $x \in E$, the **subgroup** of **SO(3)** for which x is a **fixed point** of $l(\underline{A}; \cdot)$ is called **isotropy group** of (E, l) at x :
 $\text{Iso}(E, l; x) := \{\underline{A} \in \text{SO}(3) : l(\underline{A}; x) = x\}$
 $\rightarrow \text{Iso}(E, l; x) = \text{SO}(3)$ iff $E_x = \{x\}$
 $\rightarrow \text{Iso}(E_{\vec{v}}, l_{\vec{v}}; \vec{0}) = \text{SO}(3)$,
 $\text{Iso}(E_{\vec{v}}, l_{\vec{v}}; \vec{s} \neq \vec{0}) = \{\text{rotations around } \vec{s}\} \cong \text{SO}(2)$

(d) G-Map (of SO(3)) :

maps between two **SO(3)-spaces** & is structure preserving:
 $\Gamma: (E_1, l_1) \rightarrow (E_2, l_2)$
 $l_2(\underline{A}; \Gamma(x)) = \Gamma(l_1(\underline{A}; x))$,
 $\forall \underline{A} \in \text{SO}(3), \forall x \in E_1$
 \leftarrow Don't call it "SO(3)-map", since that smells like
 $\tilde{A}: \mathbb{R}^3 \rightarrow \mathbb{R}^3, \vec{s} \mapsto \tilde{A} \vec{s}, \tilde{A} \in \text{SO}(3)!$
 $\rightarrow \Gamma_{\tilde{A}}: E_{\vec{v}} \rightarrow E_{\vec{v}}, \vec{s} \mapsto \sqrt{3/2}(\underline{1} - \frac{1}{3} \underline{\underline{s}} \underline{\underline{s}}^T)$
fulfills $l_2(\tilde{A}; \Gamma_{\tilde{A}}(\vec{s})) = \Gamma_{\tilde{A}}(l_1(\underline{A}; \vec{s}))$
• More examples:
 \rightarrow **Singlet repres.**: $E_{\text{id}} = \mathbb{R}, l_{\text{id}}(\underline{A}; \rho) := \rho$
 \rightarrow **Liouville PSD**: $\Psi_{n+1} = l_{\text{id}}(\underline{R}; \Psi_n \circ M_\omega^{-1}) \equiv \Psi_n \circ M_\omega^{-1}$
 \rightarrow **inv. Liouville PSD** $\Psi \circ M_\omega = l_{\text{id}}(\underline{R}; \Psi) \equiv \Psi$
 \rightarrow **Product action**: $E_{1 \times 2} = E_1 \times E_2$,
 $l_{1 \times 2}(\underline{A}; (x_1, x_2)) := (l_1(\underline{A}; x_1), l_2(\underline{A}; x_2))$
• Most of this is from **ABQ**, so we're not surprised...

Fig.2: SPRINT (HERA-p) example of C^0 -lvSF driven by 2-d (vertical & horizontal) orbital motion.



... there's a secret ingredient in it[8]...

- ... and it's neither red nor green chile:
- For the theorems to work we need certain **regularity constraints**:
- We choose **global continuity** (on **topological spaces** E),
- e.g.: $M_\omega \in \text{Homeo}(\mathcal{T}^d), \underline{R} \in \mathcal{C}^0(\mathcal{T}^d, \text{SO}(3))$ and
- **all our (invariant) fields (and candidates) need to be globally continuous**, i.e. $\in \mathcal{C}^0(\mathcal{T}^d, E)$
- I call 'em: **C^0 -lvPF, C^0 -lvSF, C^0 -ltPF & C^0 -ltSF** !

Normal Form Theorem (NFT)

Let $\underline{T} \in \mathcal{C}^0(\mathcal{T}^d, \text{SO}(3)), (E, l), M_\omega, \underline{R}$ as before, and $x \in E$ fixed.
Define $f \in \mathcal{C}^0(\mathcal{T}^d, E), \underline{R}' \in \mathcal{C}^0(\mathcal{T}^d, \text{SO}(3))$ by
 $f := l(\underline{T}; x), \underline{R}' := \underline{T}^T \circ M_\omega \underline{R} \underline{T}$ (10)
Then f is an invariant (E, l) -field ($f \circ M_\omega = l(\underline{R}; f)$), iff
 $\underline{R}'(\phi) \in \text{Iso}(E, l; x) \quad \forall \phi \in \mathcal{T}^d$ (11)
• If $\underline{R}' \in$ some subgroup of **SO(3)**
 $\Rightarrow (M_\omega, \underline{R}')$ is a normal form of $(M_\omega, \underline{R})$
• $(E_{\vec{v}}, l_{\vec{v}})$ w/ $\hat{z} := (0, 0, 1)^T$:
 $\underline{N}' := l_{\vec{v}}(\underline{T}; \hat{z})$ is a **C^0 -lvSF** iff $\underline{R}'(\phi) \in \text{SO}(2)$

Decomposition Theorems

- **SO(3) Mapping Lemma (SML)**:
Let $\Gamma \in \mathcal{C}^0((E_1, l_1), (E_2, l_2))$ be a **G-map**,
 $f_1 \in \mathcal{C}^0(\mathcal{T}^d, E_1)$, and $f_2 \in \mathcal{C}^0(\mathcal{T}^d, E_2)$ be defined by $f_2 := \Gamma \circ f_1$. Then
 $l_2(\underline{R} \circ M_\omega^{-1}; f_2 \circ M_\omega^{-1}) = \Gamma(l_1(\underline{R} \circ M_\omega^{-1}; f_1 \circ M_\omega^{-1}))$,
for all M_ω, \underline{R} , i.e. the field dynamics is preserved.
- i.p.: $f_1 \circ M_\omega = l_1(\underline{R}, f_1) \Rightarrow f_2 \circ M_\omega = l_2(\underline{R}, f_2)$
- if $\Gamma \in \text{Homeo}((E_1, l_1), (E_2, l_2))$, then also " \Leftarrow " is true.
- **Decomposition Corollary (DC)**:
The DC generalizes the SML to **G-maps** from (E_1, l_1) -orbit E_{1, x_1} to (E_2, l_2) -orbit E_{2, x_2} of $x_1 \in E_1, x_2 \in E_2$.

Remarks

- The NFT answers, to some extent (Q2), while the SML/DC answer (Q1).
- The proofs can be found in [1, 2, 4].
- The above sources state further theorems.
- This poster resembles a reduction to what I think are the **highlights** of our results.
- **Global Continuity** is a **strong** restriction (see 4th example).
- **Global Continuity** is a **weak** restriction, since functions realized in physics normally tend to be (piecewise) **smooth** (C^∞)
- The regularity constraints for our framework could be made stronger (\rightarrow globally $C^k, k > 0$) or weaker (\rightarrow globally measurable), thereby modifying the applicability of the premises and the strength of the conclusions.
- \Rightarrow Some findings might get strengthened when stronger constraining regularity, some might get weakened when weakening the constraints, some might turn out robust.

Example 1: Relation C^0 -lvSF $\Leftrightarrow C^0$ -ltSF

- $\Gamma_{\tilde{A}}$ (see above) is a **G-map** in $\mathcal{C}^0(E_{\vec{v}}, E_t)$
 $\Rightarrow \underline{N}' := \Gamma_{\tilde{A}}(\underline{N})$ is a **C^0 -ltSF**, if \underline{N} is a **C^0 -lvSF**.
 \leftarrow The constructed **C^0 -ltSF** has 2 distinct eigenval's.
- \Rightarrow If the **C^0 -lvSF** is unique up to global sign, so is the **C^0 -ltSF** [6].
- To construct \underline{S} 's that have 3 distinct eigenval's:
 $\Gamma_{\text{3ev}}^{(\alpha, \beta)}: E_{\vec{v}} \times E_t \rightarrow E_t$,
 $(\vec{f}, \underline{\underline{g}}) \mapsto \alpha \underline{1} - (2\alpha + \beta) \vec{f} \vec{f}^T + (\beta - \alpha) \underline{\underline{g}} \underline{\underline{g}}^T$ w/
 $\alpha^2 + \alpha\beta + \beta^2 = 1/2$ is a **G-map** in $\mathcal{C}^0(E_{\vec{v}} \times E_t, E_t)$.
We have shown in [6] that $\Gamma_{\text{3ev}}^{(\alpha, \beta)}$ can only generate a **C^0 -ltSF**, when the system is on **spin-orbit resonance**, i.e. when the **C^0 -lvSF** is non-unique!
- If a **C^0 -ltPF** has only 1 eigenvalue, it must be the trivial one.

Example 2: Spin-1/2 Density Matrix

- The physics-interface between the macroscopic, classical description of a particle beam in an accelerator, and a QM/QFT scattering processes is the **density matrix** ρ . ($\rho^{1/2}$ f. spin-1/2, ρ^1 f. spin-1)
- Here: $\rho^{1/2}(\phi)$ for given torus w/ fixed orbital actions $J = \text{const}$
 $\rho^{1/2}(\phi) := \Psi_J(\phi) \frac{1}{2} (\underline{1} + \vec{\sigma} \cdot \underline{S}_J(\phi))$
w/ Ψ_J the (orbital) Liouville PSD, \underline{S}_J the pola. field, both describing the beam, and $\vec{\sigma}$ is the vector of Pauli matrices.
- $\rho^{1/2} \in E_{1/2} := \{\underline{\rho} \in \mathbb{C}^{2 \times 2} : \underline{\rho}^\dagger = \underline{\rho}\}$
- $\Gamma_{1/2}: E_{\text{id}} \times E_{\vec{v}} \rightarrow E_{1/2}, (\psi, \vec{s}) \mapsto \frac{1}{2} (\psi \underline{1} + \vec{\sigma} \cdot \vec{s})$
 $\rho^{1/2} = \Gamma_{1/2}(\Psi, \Psi \vec{S})$
- $\Gamma_{1/2} \in \text{Homeo}(E_{\text{id}} \times E_{\vec{v}}, E_{1/2})$ is **G-map**
 $\Rightarrow \underline{\rho}_{\text{equi}}^{1/2} = \Gamma_{1/2}(\Psi_{\text{equi}}, \Psi_{\text{equi}} \vec{P})$ is **inv.** $(E_{1/2}, l_{1/2})$ -**field** iff Ψ_{equi} is an inv. Liouv. PSD and \vec{P} is a **C^0 -lvPF**.

Example 3: Spin-1 Density Matrix

- $\rho^1 := \Psi_{\frac{1}{2}} \left(\underline{1} + \frac{3}{2} \underline{\underline{S}} \cdot \vec{S} + \sqrt{\frac{3}{2}} \sum_{i,j=1}^3 \underline{\underline{S}}_{ij} (\underline{\Sigma}_i \underline{\Sigma}_j + \underline{\Sigma}_j \underline{\Sigma}_i) \right)$
 $\underline{\Sigma}_{1,2,3} := \sqrt{\frac{3}{2}} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$
- $\rho^1 \in E_1 := \{\underline{\rho} \in \mathbb{C}^{3 \times 3} : \underline{\rho}^\dagger = \underline{\rho}\}$
- $\Gamma_1: E_{\text{id}} \times E_{\vec{v}} \times E_t \rightarrow E_1, (\psi, \vec{s}, \underline{\underline{s}}) \mapsto \frac{1}{2} \left(\psi \underline{1} + \frac{3}{2} \underline{\underline{S}} \cdot \vec{S} + \sqrt{\frac{3}{2}} \sum_{i,j=1}^3 \underline{\underline{S}}_{ij} (\underline{\Sigma}_i \underline{\Sigma}_j + \underline{\Sigma}_j \underline{\Sigma}_i) \right)$
 $\rho^1 = \Gamma_1(\Psi, \Psi \vec{S}, \Psi \underline{\underline{S}})$
- $\Gamma_1 \in \text{Homeo}(E_{\text{id}} \times E_{\vec{v}} \times E_t, E_1)$ is **G-map**
 $\Rightarrow \underline{\rho}_{\text{equi}}^1 = \Gamma_1(\Psi_{\text{equi}}, \Psi_{\text{equi}} \vec{P}, \Psi_{\text{equi}} \underline{\underline{P}})$
is **inv.** (E_1, l_1) -**field** iff Ψ_{equi} is an inv. Liouv. PSD and \vec{P} is a **C^0 -lvPF** and $\underline{\underline{P}}$ is a **C^0 -ltPF**.
- The maximum attainable equilibrium polarization state is realized (for spin-1/2 & 1), when
 $\underline{\rho}_{\text{equi}}^{1/2} \rightarrow \Gamma_{1/2}(\Psi_{\text{equi}}, \Psi_{\text{equi}} \vec{N})$ (i.e. \vec{N} is **C^0 -lvSF**) &
 $\underline{\rho}_{\text{equi}}^1 \rightarrow \Gamma_1(\Psi_{\text{equi}}, \Psi_{\text{equi}} \vec{N}, \Psi_{\text{equi}} \underline{\underline{N}})$ (and $\underline{\underline{N}}$ is **C^0 -ltSF**)

A Discontinuous Example (4)

- Slightly artificial set up:
- M_ω resonant: $\frac{\omega_j}{2\pi} = \frac{1}{4m-2}, n = 1, 2, 3, \dots$
- \underline{R} given by **Singlet Resonance Model** & added **Lee-Courant** 2 snake scheme =
 \rightarrow 2 Siberian snakes 180° in azimuth apart,
 \rightarrow both snake axes in the ring-plane,
 \rightarrow axes perpendicular
- **lvSF** needs $2n$ discontinuities (sign flips) in ϕ_y [2, 7] (otherwise it becomes twin-valued under iteration of \underline{R} !)
- **lvSF** is not **C^0 -lvSF**
 \Rightarrow **framework does not apply**
- However, corresponding **ltSF** is **C^0 -ltSF**
 \Rightarrow **framework does apply**
 \leftarrow except example 1.

